

## COMPLIANCE ISSUES FOR DECISION

**DATE:** 3 January 2018

**RESPONSIBILITY:** Group Manager Market Management

### COMPLIANCE ISSUES SUMMARY TABLE:

Breach	Description	Recommendation
<b>WA Retail Market Procedure 269(1)(b) by AEMO on 04/12/2017</b>	<p>On 04/12/2017, the delivery of 20 WA OMP-STATUS (Off-Market Service Procurement Instruction Status) market reports were delayed by 17 minutes. This was caused by a database connection problem during the scheduled Microsoft security patching activity on the WA Gas Retail Market System ("GRMS") Production servers on 04/12/2017.</p> <p>This appears to be a breach of clause 269(1)(b) of the WA Retail Market Procedures ("Procedures") by AEMO.</p>	<p>Submissions were called for, and the submission window closed on 02/01/2018.</p> <p>One submission was received from AGL confirming that they have reviewed the apparent breach notice and have no comment.</p> <p>After having regard to the other matters in clause 329(1) of the Procedures, AEMO has determined that the breaches were not material and resolved. AEMO to take no further action in relation to this matter.</p>

### COMPLIANCE ISSUES:

#### 1. Procedures breach reported by AEMO: WA Retail Market Procedures 269(1)(b) by AEMO on 04/12/2017

**Description** See the description above.

**Action taken** AEMO restarted all applications on the WA GRMS production database and the WA OMP-STATUS reports were sent out to the market participants.

AEMO is going to ensure that the WA OMP-STATUS reports are sent out before shutting down the WA Production GRMS for a scheduled patching activity. This will ensure that, in case of delays in future scheduled patching processes, the WA OMP-STATUS reports will be delivered by the required timeframe.

**Impact** The delivery of 20 WA OMP-STATUS reports were delayed by 17 minutes on 04/12/2017.

No submission was received from participants in response to the request for submissions.

Decision        After having regard to the matters in clause 329(1) of the Procedures, AEMO has determined that the breaches were not material and resolved. AEMO to take no further action in relation to this matter.