



CARBON DIOXIDE EQUIVALENT INTENSITY INDEX PROCEDURES

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IMPORTANT NOTICE

Purpose

This document has been prepared by AEMO as required by clause 3.13.14 of the National Electricity Rules (Rules), and has effect only for the purposes set out in the Rules. The Rules and the National Electricity Law (Law) prevail over this document to the extent of any inconsistency.

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VERSION RELEASE HISTORY

Version	Effective date	Summary of changes
0.1	6 September 2010	Initial Draft
0.2	4 November 2010	Draft Determination
1.00	2 December 2010	Final
2.00	23 July 2013	Amended in accordance with 'National Electricity Amendment (Small Generation Aggregator Framework) Rule 2012 No. 8'.
3.00	11 December 2014	Incorporate the document titled "Carbon Dioxide Equivalent Intensity Index Notice July 2012" into the CDEII Procedures and other administrative changes.
4.00	TBA	<ul style="list-style-type: none"> • Procedure moved to new AEMO procedures template. • Updates for grammatical errors, inconsistencies and removal of obsolete references. • Updates to source of emission factor data. • Updates to website links.

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1. ABBREVIATIONS AND GLOSSARY

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Term	Definition
Carbon-Dioxide-Equivalent Intensity Index (t-CO ₂ -e/MWh)	Carbon-Dioxide-Equivalent Intensity Index for the NEM.
Carbon-Dioxide-Equivalent Intensity Index Procedures or Procedure	This document.
CO ₂ -e	Carbon-Dioxide equivalent, which includes other gases which have an equivalent Greenhouse impact such as CH ₄ and N ₂ O.
Emission Factor (t-CO ₂ -e/MWh)	The factor representing the amount of greenhouse gas emissions per unit of electricity (t-CO ₂ -e/MWh) produced by each power station.
Fuel-Type	Type of fuel used by a generator to produce electricity.
Gross Energy	The gross energy generated by the generating units, including the station house load.
MWh	Mega-Watt hour
NEM	National Electricity Market
Sent Out Energy <i>sent out generation</i>	The net energy from generating units supplied to the wholesale pool. This energy does not include the station house load.
t-CO ₂ -e/MWh	Tonnes of CO ₂ -equivalent gas per Mega-Watt hour, (expressed in metric tonnes).
Carbon-Dioxide-Equivalent Intensity Index (t-CO ₂ -e/MWh)	Carbon-Dioxide-Equivalent Intensity Index for the NEM.

- (a) In this Procedure, a word or phrase has the meaning set out opposite that word or phrase in the Glossary.
- (b) In this Procedure terms have the same meaning as in the Law and Rules.
- (c) In this Procedure, unless the context otherwise requires, this Procedure shall be interpreted in accordance with Schedule 2 of the National Electricity Law.

2.1. INTRODUCTION

1.1. Purpose and scope

These is the Carbon Dioxide Equivalent Intensity Index Procedures (Procedures) ~~is~~ made in accordance with under clause 3.13.14(a) of the National Electricity Rules (NER) Rules (Procedures).

These Procedures have effect only for the purposes set out in the NERational Electricity Rules (NER). The NER and the National Electricity Law prevail over these Procedures to the extent of any inconsistency.

This Procedure commences on [TBD] and supersedes the previous Carbon Dioxide Equivalent Intensity Index Procedure.

This Procedure may only be amended in accordance with clause 8.9 of the Rules.

If there is any inconsistency between this Procedure and the Rules, the Rules will prevail to the extent of that inconsistency.

3. PURPOSE

The purpose of this document is to define the procedure by which AEMO will calculate, update and publish the NEM Carbon Dioxide Equivalent Intensity Index. This Procedure is authorised by Clause 3.13.14 of the Rules. This Procedure was first published in July 2011 and has been available at all times since this date in accordance with Rule 3.13.14(d).

AEMO must review these Procedures at least once every three years in accordance with NER clause Rule-3.13.14(e).

1.2. Definitions and interpretation

1.2.1. Glossary

Terms defined in the National Electricity Law and the NER have the same meanings in these Procedures unless otherwise specified in this clause.

Terms defined in the NER are intended to be identified in these Procedures by italicising them, but failure to italicise a defined term does not affect its meaning.

The words, phrases and abbreviations in the table below have the meanings set out opposite them when used in these Procedures.

Term	Definition
AEMO	Australian Energy Market Operator
Carbon Dioxide Equivalent Intensity Index or CDEII (t CO ₂ -e/MWh)	Carbon Dioxide Equivalent Intensity Index for the NEM.
Carbon Dioxide Equivalent Intensity Index Procedures or Procedure	This document.
CO ₂ -e	Carbon Dioxide equivalent, which includes other gases which have an equivalent Greenhouse impact such as CH ₄ and N ₂ O.
Emission Factor (t CO ₂ -e/MWh)	The factor representing the amount of greenhouse gas emissions per unit of electricity (t CO ₂ -e/MWh) produced by a each generating system <u>power station</u> .
Fuel Type	Type of fuel used by a generating system <u>generator</u> to produce electricity.
GJ	Gigajoule
Gross Energy	The gross energy generated by a generating system <u>the generating units</u> , including <u>auxiliary or the station-house load</u> .
MWh	Megawatt hour
NEM	National Electricity Market

Term	Definition
NER	National Electricity Rules
NGA Factors	National Greenhouse Accounts emission factors published by the Commonwealth Department with responsibility for environment.
NEMMCO	National Electricity Market Management Company
NTNDP	National Transmission Network Development Plan
Planning Database	The <i>NTNDP database</i> , or any database maintained and published by AEMO that supersedes the <i>NTNDP database</i> and includes emission factor assumptions.
Sent Out Energy	The net energy from generating units supplied to the wholesale pool. This energy does not include the station house load.
t CO ₂ -e/MWh	Tonnes of CO ₂ -equivalent gas per megawatt hour, (expressed in metric tonnes).

1.2.2. Interpretation

These Procedures are subject to the principles of interpretation set out in Schedule 2 of the [National Electricity Law](#).

4. APPLICATION OF THIS PROCEDURE

This Procedure applies to AEMO.

5. ENFORCEABILITY OF THE PROCEDURES

This Procedure is enforceable in accordance with clause 3.13.14 of the Rules.

6.2. CARBON DIOXIDE EQUIVALENT INTENSITY INDEX CALCULATION

The methodology for measuring the ~~National Electricity Market (NEM)~~ Carbon Dioxide Equivalent Intensity Index follows a similar procedure to what had previously been followed by NEMMCO in producing the Greenhouse Intensity Index.

~~Sent out generation~~ ~~Sent Out Energy~~ derived from ~~metering data~~ ~~is will be~~ combined with publicly available generator based Emission Factors to provide a NEM-wide Carbon Dioxide Equivalent Intensity Index calculated on a daily basis ~~and published~~. ~~This data will be published~~ on the AEMO website ~~weekly~~, when the ~~preliminary statements~~ Preliminary Settlement Statements are posted ~~for each billing period~~.

Published versions of the Carbon Dioxide Equivalent Intensity Index will not be revised after publication, except as noted in section ~~3.6~~ ~~7.7~~ (Manifest Errors).

6.1.2.1. Calculation

~~NER Rule~~ 3.13.14(b)(1) requires these Procedures to specify the methodology for calculating the Carbon Dioxide Equivalent Intensity Index.

The calculation requires two discrete sets of data:

1. The total ~~Sent Out generation Energy~~ (MWh) ~~generated~~ from each ~~relevant generating system generator~~ and;

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2. The carbon dioxide equivalent emissions per unit of electricity (t CO₂-e /MWh) generated by each relevant generating system generator (generator specific Emission Factor).
 The resultant Carbon Dioxide Equivalent Intensity Index for the NEM ~~is~~ will be reported in tonnes of CO₂-e per megawatt hour (t CO₂-e/MWh).

The following formula is used to convert the Emissions Factor for a generating system individual generator from t CO₂-e/GJ to t CO₂-e /MWh:

Formula 1:

$$EF_i = \left(\frac{3.6}{TE_i} \right) \times \frac{ef_i}{(1 - A_i)}$$

Where:

EF = Emission Factor for individual generating system generator (t CO₂-e /MWh).

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i = Generating system comprising scheduled generating units or market generating units Generator with available energy data & Emission Factor.

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TE = Thermal Efficiency (MWh_(Gen)/MWh_(Fuel)) (%) sent out.

ef = Emission Factor for individual generating system generator (t CO₂-e /GJ), derived by summing the combustion emission factor (kgCO₂-e /GJ of fuel) and fugitive emission factor (kgCO₂-e /GJ of fuel).

A = Auxiliaries (% value). Set to zero, as Thermal Efficiency in supply inputs are based on Sent Out Energysent out generation (i.e. auxiliaries are already accounted for).

3.6 = Conversion factor (1 MWh = 3.6 GJ)¹

Both direct and fugitive emissions for generating systems units are included in the eEmissions Factor. These are "Scope 1" and "Scope 3" emissions respectively, as described in the NGA ational Greenhouse Accounts (NGA) Factors.

The following formula is used to calculate the carbon dioxide equivalent emissions (CDE) for an individual generating system generator:

Formula 2:

$$CDE_i = EF_i \times E_i$$

Where:

CDE = Carbon Dioxide Equivalent emissions (t CO₂-e) from a generating system unit

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EF = Emission Factor for individual generating system generator (t CO₂-e /MWh).

E = Sent Out EnergySent out generation (MWh) for a generating system unit. This value is the energy measured at the generator's connection point to the network and consequently excludes the intra-regional loss factorMarginal Loss Factor (MLF).

i = Generating system comprising scheduled generating units or market generating units Generator with available energy data & Emission Factor.

¹ Energy conversion factor – 1 MWh is the equivalent of 3.6 GJ

The total Carbon Dioxide equivalent emission for the NEM is calculated as:

Formula 3:

$$CDE_{Total} = \sum_i CDE_i$$

The Carbon Dioxide Equivalent Intensity Index (CDEII) for the NEM is calculated by:

Formula 4:

$$CDEII = \frac{\sum_i CDE_i}{\sum_i E_i}$$

Where:

CDEII = Carbon Dioxide Equivalent Intensity Index for the NEM (t CO₂-e /MWh)₂

The above equation produces a weighted average of the Carbon Dioxide Equivalent emissions of *relevant generating systems generators in the NEM based and then on the* volume of the *Sent Out Energy sent out generation-generated*. Refer to *Section 2.46.4* for information regarding the energy included in the calculation.

6-2-2.2. Time Interval

Rule NER 3.13.14(b)(6) requires these Procedures to specify the time intervals for publishing and updating the Carbon Dioxide Equivalent Intensity Index. *The Carbon Dioxide Equivalent Intensity Index is it will be published on AEMO's website at the same time as the NEM preliminary statements, Preliminary Settlement Statements* which are issued five *business days* after the end of each weekly *billing period, as specified in Rule 3.15.14(a). The Carbon Dioxide Equivalent Intensity Index will be published on AEMO's website.* The Carbon Dioxide Equivalent Intensity Index *is will be* calculated and shown for each day within the *billing period Billing Period*. The timing for publishing the *Carbon Dioxide Equivalent Intensity Index CDEII* is also included in the *spot market timetable Spot Market Operations Timetable* published on the AEMO website.

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6-3-2.3. Emission Factors

Where available, Emission Factor data *is will be* sourced from the *latest published Planning Database current final National Transmission Network Development Plan consultation conducted by AEMO Planning.* *The current version can be found on the CDEII webpage, under the Emission Factor Data Source heading, at:*

The currently available source (for reference purposes) is:
<http://www.aemo.com.au/planning/ntndp.html>.

<https://aemo.com.au/Electricity/National-Electricity-Market-NEM/Settlements-and-payments/Settlements/Carbon-Dioxide-Equivalent-Intensity-Index>

The currently available source (for reference purposes) is: ₂

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Specific Emission Factor data (consolidated file: plant technical data) is published on the AEMO website under Planning Assumptions.

The Emission Factors ~~are~~ will be reviewed each time ~~the a new final Planning Database National Transmission Network Development Plan consultation is updated~~ becomes publically available. This ~~will ensure~~ that the Carbon Dioxide Equivalent Intensity Index ~~will come~~ from a reliable source as per ~~NER Rule~~ 3.13.14(c)(3).

Under ~~NER Rule~~ 3.13.14(l), if AEMO is advised that an existing Emission Factor has changed for a given ~~generating system~~ ~~Generating Unit~~ based on a source that is publically available and in AEMO's opinion, reliable, AEMO will as soon as practicable update the Emission Factor used in the calculations. Additionally, AEMO will update the reference table with these details as referenced in ~~Section~~ 3.1.7.4 of these procedures.

6.3.1.2.3.1. Estimated Emission Factors

Where explicit Emission Factors are not available from the ~~Planning Database final National Transmission Network Development Plan consultation~~, estimated data ~~is~~ will be used. ~~AEMO will use~~ the following methodology ~~is used~~ for the estimation:

Emission Factors ~~are~~ will be based on aggregated Emission Factor data sourced from the Energy section of the ~~National Greenhouse Accounts~~ (NGA) Factors report in accordance with ~~NER Rule~~ 3.13.14(c)(4). This data is based on the type of fuel of the ~~generating system~~ ~~Generator~~ aggregated across the main greenhouse gases listed.

Note:

~~The most currently available NGA Factors report is available at:~~ <http://www.environment.gov.au>

Since this data is captured as kg CO₂-e/GJ, this ~~will need~~ s to be converted to t CO₂- e/MWh. This ~~will be~~ done using the average value for Thermal Efficiency for ~~generating systems~~ ~~generators~~ of the same type as published in the ~~Planning Database National Transmission Network Development Plan consultation~~. The conversion ~~is~~ will be performed using Formula 1 listed in ~~Section~~ 2.1.6.4 of this document, where the Auxiliary value (A) is set to zero.

AEMO ~~will~~ publish ~~es~~ the source of the values used to determine estimated Emission Factors.

If ~~the Planning Database does not specify an a given Generator had no~~ Emission Factor ~~for a given~~ ~~generating system~~ provided as part of the ~~National Transmission Network Development Plan consultation~~ and no estimate ~~is reasonably were~~ possible, that energy data ~~is~~ would be excluded from the calculation of the Carbon Dioxide Equivalent Intensity Index in accordance with ~~NER Rule~~ 3.13.14(c)(1).

Estimated Emission Factors ~~will be~~ are updated ~~when AEMO publishes an updated Planning Database, is updated~~ containing revised emission factor data, as each new final National ~~Transmission Network Development Plan consultation is made publically available or~~ ~~when~~ new NGA Factors are published.

6.4.2.4. Energy Data

AEMO ~~will~~ calculate the Carbon Dioxide Equivalent Intensity Index using ~~Sent Out Energy~~ ~~sent out~~ ~~generation~~ data (in MWh). This is the same energy data used for market settlement. ~~Sent Out Energy~~ ~~Sent out generation~~ is consistently available across all ~~relevant valid~~ ~~generating~~ ~~systems~~ ~~generators~~ and ~~will provide~~ s a more accurate measure of the Carbon Dioxide Equivalent

Intensity Index than Gross Energy, noting that Gross Energy is not always available for every generating system generator.

6.5.2.5. Assumptions

In calculating the Carbon Dioxide Equivalent Intensity Index, AEMO will rely on the following assumptions:

- The publicly available data used as Emission Factors is accurate for each generating system Generating Unit.
- The mechanism used to estimate Emission Factors for generating systems Generating Units where reliable publicly available data is not available is accurate.
- All assumptions used in the development of the Planning Database production of the National Transmission Network Development Plan consultation values concerning CO₂ emissions are carried over to the production of the Carbon Dioxide Equivalent Intensity Index.

7.3. CARBON DIOXIDE EQUIVALENT INTENSITY INDEX

7.1.3.1. Published Emission Factor Data

AEMO will publish the Emission Factors used to generate the Carbon Dioxide Equivalent Intensity Index for each generating system scheduled generating unit and market generating unit used to calculate the Index (in accordance with Rule 3.13.14(a1), a 'market generating unit' does not include a 'small generating unit').

This will list the generator identifier used for the generating system, the eEmission factor, the NEM region to which the generating system that the generator is assigned, and the source of the Emission Factor, in accordance with NER Rule 3.13.14(i)(1) and (2). Estimated values are would be clearly marked as such. Any factors used to convert GJ based emission factors to MWh emission factors which have not been estimated can be sourced from the Planning Database NTNDP. This would include the GJ based emission factors, thermal efficiencies and auxiliary values.

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7.2.3.2. Updates to Emission Factors used in the Carbon Dioxide Equivalent Intensity Index

Following a review of the Emission Factors used in the Carbon Dioxide Equivalent Intensity Index, the new Emission Factors (including estimated values) are should be applied to the calculation of the Carbon Dioxide Equivalent Intensity Index as soon as practicable in accordance with NER Rule 3.13.14(k). These updates are will not be applied retrospectively, hence once the Carbon Dioxide Equivalent Intensity Index is published for a given day it will not be changed, except as noted in section 7.5-7.3.6 (Manifest Errors).

Any updates to the Emission Factor data (including estimated values) used in the calculation of the Carbon Dioxide Equivalent Intensity Index will result in an update to the Emission Factor table in accordance with NER Rule 3.13.14(o) and published as soon as practicable.

7.3.3.3. New Generating Systems Units

When From time to time new generating systems comprising scheduled generating units or market generating units are connected to the NEM power system, will be introduced into the NEM. In accordance with Rule NER 3.13.14(m)(1) and (2), AEMO will as soon as practicable

updates the Carbon Dioxide Equivalent Intensity Index to include the CDEII data for the new *generating system as soon as practicable* Generating Unit.

In the event that there is no reliable published Emission Factor for the new *generating system scheduled generating unit or market generating unit*, an estimated Emission Factor is will be used as outlined in Section 2.3.16.3.1, according with NER Rule 3.13.14(n).

As referenced in Section 3.2.7.2 of this document, any updates to the *generating systems* Generating Units or Emission Factors used in the calculation of the Carbon Dioxide Equivalent Intensity Index will be updated in the published table as soon as practicable.

Note: under Rule 3.13.14(a1), a 'market generating unit' does not include a 'small generating unit'.

7.4.3.4. Carbon Dioxide Equivalent Intensity Index Format

AEMO will publish the following data on the AEMO website for Carbon Dioxide Equivalent Intensity Index:

Date – of the given day to which the Carbon Dioxide Equivalent Intensity Index applies to

Carbon Dioxide Equivalent Intensity Index – for the given date (Measured as t (CO₂-e)/MWh)

Total Sent Out Energy sent out generation – the total *Sent Out Energy sent out generation* from the NEM on the given date: (Measured as MWh)

Daily Total Emissions – Summed across the NEM of all *scheduled and market generating systems* Scheduled Generator Units and used in the Index Market Generator Units (Measured in t (CO₂-e))

The data is will be provided in comma separated value format (.csv), sorted by date, including all Carbon Dioxide Equivalent Intensity Indexes from the commencement of Carbon Dioxide Equivalent Intensity Index reporting in 2011 (refer section 5.7.6).

The NEM Region supplementary intensity indices are will be included within this file with the addition of the field:

RegionID – This has a value of QLD1, NSW1, VIC1, SA1, TAS1, or NEM (representing the NEM-wide value).

Refer to Section 4.5.8.5 for more details.

7.5.3.5. Energy Metering Updates

Metering data from a *generating system generator* for any given day can change at any time through all of the *billing periods* up until the 30-week revision (30 weeks after the *billing period billing week for* of the given day). However, the Carbon Dioxide Equivalent Intensity Index will not be updated to account for these variations. The value published for a given day will not be changed, except as noted in section 3.6.7.7 (Manifest Errors).

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7.6. Data Reporting Commencement

Data will be reported from 1 July 2011, where the current publically available National Transmission Network Development Plan consultation (refer section 4.6.3 Emission Factors) will be used to determine the Carbon Dioxide Equivalent Intensity Index.

7.7.3.6. Manifest Errors

The republishing of data will be at AEMO's discretion. If manifest errors are detected within the published values of the NEM-wide intensity index AEMO may republish the index values within five business days. If manifest errors are detected and new intensity indices are published a notification will be posted to the AEMO website.

8.4. NEM REGION SUPPLEMENTARY CARBON DIOXIDE EQUIVALENT INTENSITY INDEX CALCULATION METHODOLOGY

AEMO will publish *es* supplementary Carbon Dioxide Equivalent Intensity Indices in the form of *region*-based factors. The *region*-based factors *are* will be determined according to NEM *region* *Region* and labelled accordingly. That is QLD1, NSW1, VIC1, SA1 and TAS1.

The NEM *regions* *Regions* approximately equate to State boundaries (with the ACT being part of NSW) *although not exactly*. The full definition for NEM Regions may be found *in the Regional Boundaries and Marginal Loss Factors. The current version may be found on the CDEII webpage, under the Regional Boundaries and Margin Loss Factors heading, at:*

<https://aemo.com.au/Electricity/National-Electricity-Market-NEM/Settlements-and-payments/Settlements/Carbon-Dioxide-Equivalent-Intensity-Index>

here: <http://www.aemo.com.au/Electricity/Market-Operations/Loss-Factors-and-Regional-Boundaries>

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8.1.4.1. NEM Region Supplementary Intensity Indices Calculation Methodology

In the calculation of NEM Region Carbon Dioxide Equivalent Intensity Indices, the *E*mission *E*factors are those used for the NEM-wide Carbon Dioxide Equivalent Intensity Index, however each index is calculated based on an aggregate at the NEM *region* *Region* level rather than across the entire NEM. Therefore, *in order to* calculate the NEM Region Intensity Indices, Formulas 1 and 2 as described in section *2.1.6.1* remain the same, however the aggregation Formulas would be described as follows:

The total Carbon Dioxide equivalent emission for the NEM *region* *Region* (CDE_{NR}) is calculated as:

Formula 5:

$$CDE_{NR} = \sum_{iNR} CDE_{iNR}$$

Where:

CDE_{iNR} = Carbon Dioxide Equivalent emissions (t CO₂-e) from a *relevant generating system unit* assigned to the *region* *NEM Region* (QLD1, NSW1, VIC1, SA1 or TAS1)

iNR = *Generating system comprising scheduled generating units or market generating units Generator* with available energy data and *E*-emission *E*factor assigned to the *region* *NEM Region*.

The Carbon Dioxide Equivalent Intensity Index (CDEII) for the NEM *region* *Region* ($CDEII_{NR}$) is calculated by:

Formula 6:

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$$CDEI_{NR} = \frac{\sum_{i_{NR}} CDE_{i_{NR}}}{\sum_{i_{NR}} E_{i_{NR}}}$$

Where:

$CDE_{i_{NR}}$ = Carbon Dioxide Equivalent emissions (t CO₂-e) from a *relevant-generating system* assigned to the *region* NEM-Region (QLD1, NSW1, VIC1, SA1 or TAS1)

$E_{i_{NR}}$ = *Sent Out Energy* sent out generation (MWh) for a *relevant-generating system* assigned to the *NEM-region*. This value is the energy measured at the *generator's-connection point* to the *network* and consequently excludes the *intra-regional loss factor* Marginal Loss Factor (MLF).

The above equation produces a weighted average of the Carbon Dioxide Equivalent emissions of *scheduled and market generation in a region-generators-based on* and the volume of the *Sent Out Energy* sent out generation generated.

8.2.4.2. NEM Region Supplementary Intensity Indices Energy Data

The energy data *is/will be* calculated based on the location of production (i.e. at the *generating system's* Generator's location based on *its assigned region* which NEM-Region it is assigned) rather than the location of consumption (that is, ignoring *interconnector* flows).

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8.3.4.3. NEM Region Supplementary Intensity Indices Emission Factors

The emission factors used in the supplementary intensity indices *are/will be* the same as those used in the NEM-wide intensity index. Updates to the emission factors *will* apply at the same time as they do for the NEM-wide intensity index.

8.4.4.4. NEM Region Supplementary Intensity Indices Publishing Timeframe

The supplementary intensity indices *are/will be* published according to the existing timetable as the NEM-wide intensity index as described in section 2.2.6.2. *The supplementary intensity indices will commence reporting as the same time as the NEM-wide index as referenced in section 5.7.6.*

8.5.4.5. NEM Region Supplementary Intensity Indices Format

The supplementary intensity indices *are/will be* published in the same csv file as the NEM-wide index as described in section 3.4.7.4. For each NEM *region* Region, for each day, a value *is/will be* published for the intensity index for that *region* NEM-Region, the total energy, and the total emissions used to determine the intensity index for that *region* NEM-Region on the given day.

8.6.4.6. NEM Region Supplementary Intensity Indices Published Emission Factor Data

The *published* list of *generating systems* Generators and Emission Factors *that are published will* also include the *region* NEM-Region to which each *generating system* Generator is assigned, as described in section 3.1.7.4.